

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WAUTERS, et al

Examiner: To Be Assigned

Appl. No. : 10/536,975

Art Unit: To Be Assigned

Filed: May 31, 2005

Conf. No: 4717

Title: RISER TUBE FOR A BARREL

Attorney Docket No.: 6.70.1068 PCT/IB-US

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

Commissioner for Patents  
**Mail Stop PCT**  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

12/23/2005 MKAYPAGH 00000133 10536975

01 FC:1617 Sir: 130.00 OP  
02 FC:1614 200.00 OP

The following materials are enclosed in order to complete the formal requirements in the filing of the above-identified application:

- (1) Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US);
- (2) Petition for Extension of Time Under 37 CFR 1.136 (a);
- (3) Inventor's Declaration; and
- (4) Processing Fee Transmittal Form PTO/SB/17 together with our cheque in the amount of Seven Hundred and Eighty Dollars (\$780.00) representing the late declaration surcharge of \$130.00, the two (2) month extension fee of \$450.00 and additional claims fee of \$200.00.


Response to Notification of Missing Requirements Under  
U.S.C. 371 in the United States Designated/Elected Office  
Application No. 10/536,975 – WAUTERS, et al  
Our Ref: 6.70.1068 PCT/IB-US

Page 2.

The Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) was mailed September 8, 2005. The “missing parts” identified above are therefore due on or before November 8, 2005. Our response is therefore timely filed on December 19, 2005 with a two (2) month extension of time.

Favourable consideration of the application is respectfully requested.

December 19, 2005

  
\_\_\_\_\_  
James W. Kerr  
Registration No. 34,082

Labatt Brewing Company Limited  
303 Richmond Street  
London, ON N6B 2H8  
Canada

PH: (519) 667-7526  
FAX: (519) 667-7577



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/536,975	Albert Wauters	6.70.1068 PCT/IB-US

INTERNATIONAL APPLICATION NO.
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PCT/IB03/05404

I.A. FILING DATE	PRIORITY DATE
11/25/2003	11/29/2002

James W. Kerr  
 Interbrew S.A.  
 303 Ritchmond Street  
 London, N6B 2H8  
 CANADA

CONFIRMATION NO. 4717  
 371 FORMALITIES LETTER  
 \*OC000000016951612\*  
 \*OC000000016951612\*

Date Mailed: 09/08/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/31/2005
- Copy of the International Search Report filed on 05/31/2005
- Copy of IPE Report filed on 05/31/2005
- Information Disclosure Statements filed on 06/17/2005
- Request for Immediate Examination filed on 05/31/2005
- U.S. Basic National Fees filed on 05/31/2005
- Priority Documents filed on 05/31/2005
- Specification filed on 05/31/2005
- Claims filed on 05/31/2005
- Drawings filed on 05/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

SEP 23 2005

Total additional fees required for this application is **\$200** for a Large Entity:

- Total additional claim fee(s) for this application is \$ 200

- \$200 for 1 independent claims over 3.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

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The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536,975	PCT/IB03/05404	6.70.1068 PCT/IB-US

FORM PCT/DO/EO/905 (371 Formalities Notice)

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 780.00**Complete if Known**

Application Number	10/536,975
Filing Date	May 31, 2005
First Named Inventor	WAUTERS, Albert
Examiner Name	N/A
Art Unit	N/A
Attorney Docket No.	6.70.1068 PCT/IB-US

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments
**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
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\_\_\_\_\_ - 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
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4 - 3 or HP = 1 x 200.00 = 200.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
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\_\_\_\_\_ - 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

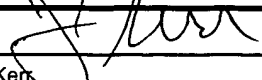
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Declaration Surcharge (\$130) &amp; 2 Month Extension Fee (\$450)

**Fees Paid (\$)**

580.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 34,082	Telephone (519) 667-7526
Name (Print/Type)	James W. Kern	Date December 19, 2005	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.